

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>		<b>Attorney Dock t No.</b>	IN-5495
		<b>First Named Inventor</b>	Stephan SCHWARTE et al.
<input checked="" type="checkbox"/> Declaration Submitted with initial Filing or <input type="checkbox"/> Declaration Submitted after initial Filing (surcharge required) (37 CFR 1.16 (e))		COMPLETE IF KNOWN	
		<b>Application Number</b>	
		<b>Filing Date</b>	June 26, 2001
		<b>Group Art Unit</b>	
		<b>Examiner Name</b>	

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **entitled**:**POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC**

(Title of the Invention)

The specification of which:

☒ is attached hereto
☐ Was filed on \_\_\_\_\_ as United States Application or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby claim foreign priority benefits under 35, U.S.C § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365 (a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date DATE/MONTH/YEAR	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
199 04 170.9	Germany	3. February 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
199 04 624.7	Germany	5. February 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application number are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER(S)	FILING DATE	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

☒ Customer Number or Bar Code Label

26922

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

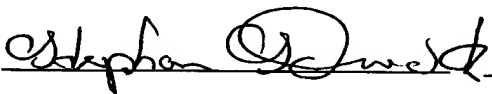
<b>Combined Declaration For Patent Application and Power of Attorney (Continued)</b>				Practitioner's Docket No. <b>IN-5495</b>	
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (List name and registration number).					
<b>Anne Gerry Sabourin</b>	Registration No.	<b>33,772</b>	<b>Anna M. Budde</b>	Registration No.	<b>35,085</b>
<b>Mary E. Golota</b>	Registration No.	<b>36,814</b>	<b>Michael P. Brennan</b>	Registration No.	<b>30,612</b>
<b>Fernando A. Borrego</b>	Registration No.	<b>34,780</b>	<b>Brian Stegman</b>	Registration No.	<b>30,977</b>
<b>Karen M. Dellerman</b>	Registration No.	<b>33,592</b>	<b>David Banchik</b>	Registration No.	<b>36,439</b>
<b>Barbara Maurer</b>	Registration No.	<b>31,278</b>	<b>Mark Westhafer</b>	Registration No.	<b>42,220</b>
<b>Charles Costello</b>	Registration No.	<b>27,324</b>	<b>David LaPrairie</b>	Registration No.	<b>46,295</b>
<b>Michael F. Morgan</b>	Registration No.	<b>42,906</b>			

<b>Send Correspondence to:</b>	<b>Direct Telephone Calls to:</b>	<b>Direct Telephone Calls to:</b>
BASF CORPORATION, PATENT DEPARTMENT 26701 TELEGRAPH ROAD SOUTHFIELD, MI 48034-2442	(name and telephone number) <u>Anne Gerry Sabourin</u> (248) 948-2021 Fax (248) 948-2093	(name and telephone number) or <u>Mary E. Golota</u> (248) 948-2020 Fax (248) 948-2093

### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### SIGNATURE(S)

<b>FULL NAME OF INVENTOR</b>	<b>FAMILY NAME</b> <u>SCHWARTE</u>	<b>FIRST GIVEN NAME</b> Stephan	<b>SECOND GIVEN NAME</b>
<b>RESIDENCE &amp; CITIZENSHIP</b>	<b>CITY</b> <u>D-48282 Emsdetten</u>	<b>STATE OR FOREIGN COUNTRY</b> Germany <u>DEX</u>	<b>COUNTRY OF CITIZENSHIP</b> German
<b>POST OFFICE ADDRESS</b>	<b>POST OFFICE ADDRESS</b> Kupfergraben 13	<b>CITY</b> D-48282 Emsdetten	<b>STATE &amp; ZIP CODE/COUNTRY</b> Federal Republic of Germany
Stephan SCHWARTE			
		<u>11. Juni 2001</u>	
SIGNATURE OF INVENTOR 201		TYPED NAME	
		DATE	

U.S. DEPARTMENT OF COMMERCE-Patent and Trademark Office

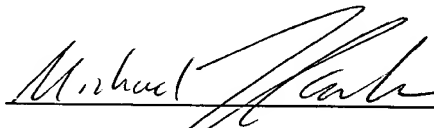
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	Mary E. Golota	Registration No.	<u>36,814</u>	Michael P. Brennan	Registration No.	<u>30,612</u>
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	Karen M. Dellerman	Registration No.	<u>33,592</u>	David Banchik	Registration No.	<u>36,439</u>
	Barbara Maurer	Registration No.	<u>31,278</u>	Mark Westhafer	Registration No.	<u>42,220</u>
	Charles Costello	Registration No.	<u>27,324</u>	David LaPrairie	Registration No.	<u>46,295</u>
	Michael F. Morgan	Registration No.	<u>42,906</u>			

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<b>BASE CORPORATION</b> <b>PATENT DEPARTMENT</b> <b>26701 TELEGRAPH ROAD</b> <b>SOUTHFIELD, MI 48034-2442</b>	(name and telephone number) <b>Anne Gerry Sabourin</b> (248) 948-2021 Fax (248) 948-2093	(name and telephone number) or <b>Mary E. Golota</b> (248) 948-2020 Fax (248) 948-2093

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**SIGNATURE(S)**

2	<b>FULL NAME OF INVENTOR</b>	<b>FAMILY NAME</b> <u>HARTUNG</u>	<b>FIRST GIVEN NAME</b> <u>Michael</u>	<b>SECOND GIVEN NAME</b>
	<b>RESIDENCE &amp; CITIZENSHIP</b>	<b>CITY</b> <u>D-59590 Geseke</u>	<b>STATE OR FOREIGN COUNTRY</b> Germany <u>DEX</u>	<b>COUNTRY OF CITIZENSHIP</b> German
	<b>POST OFFICE ADDRESS</b>	<b>POST OFFICE ADDRESS</b> <u>Lintorfer Str. 10</u>	<b>CITY</b> <u>D-59590 Geseke</u>	<b>STATE &amp; ZIP CODE/COUNTRY</b> Federal Republic of Germany
<div style="display: flex; justify-content: space-between; align-items: center;"> <div>             SIGNATURE OF INVENTOR 201         </div> <div>           Michael HARTUNG            TYPED NAME         </div> <div>           DATE         </div> </div>				

IN-5495

Practitioner's Docket No.

Patent application

Stephan SCHWARTE and Michael HARTUNG  
of

inventor(s)

POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC  
for

Title of invention

**AUTHORIZATION OF ATTORNEY TO ADD FILING PARTICULAR  
TO ASSIGNMENT DOCUMENT**

NOTE: See Notice of June 24, 1992. Fed. Reg. 29634--29648, at 29638.

I, an inventor signing below, hereby authorize and request my attorney  
Name of attorney(s): Anne Gerry SABOURIN, Mary E. GOLOTA and Michael F. MORGAN

Address: BASF Corporation  
Patent Department  
26701 Telegraph Road  
Southfield, Michigan 48034-2442

to insert the application number and the filing date, when known, into the assignment for  
this invention that I executed on

Date 18.05.2001

Stephan Schwarte  
(Type name of inventor)

Signature 

to insert the application number and the filing date, when known, into the assignment for  
this invention that I executed on

Date 22.05.2001

Michael Hartung  
(Type name of inventor)

Signature 

Page 1 of 1

(Authorization of Attorney to Add Filing Particular to Assignment Document [16-17])

PATENT

(Practitioner's Docket No. IN-5495)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Stephan SCHWARTE  
Michael HARTUNG

Serial No.: This application is a National  
Phase of Patent Application  
PCT/EP00/00779 filed 1 February 2000.

Group Art Unit: Not Assigned

Filed: June 26, 2001

Examiner: Not Assigned

For: POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC

Patent\*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

\*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents  
Washington, D.C. 20.231

STATEMENT UNDER 37 C.F.R. § 3.73(b)-  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

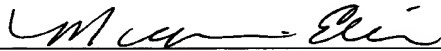
☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"  
Mailing Label No: **EF325208526** (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: June 26, 2001

  
Signature

Marjorie Ellis

(type or print name of person certifying)

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

**IDENTIFICATION OF ASSIGNEE**

BASF CORPORATION

2. \_\_\_\_\_  
Name of assignee  
CORPORATION

\_\_\_\_\_  
Type of assignee, e.g., corporation, partnership, university, government agency, etc.

**PERSON(s) AUTHORIZED TO SIGN**

3. Michael F. Morgan  
(type name of person authorized to sign on behalf of assignee)  
Attorney  
Title of person authorized to sign

(complete the following, if applicable)

☒ I, WE, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

**BASIS OF ASSIGNEE'S INTEREST**

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel \_\_\_\_\_, Frame \_\_\_\_\_

2. ☒ An assignment (document) separately being submitted for recordal herewith.

**AND/OR**

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: \_\_\_\_\_  
Name of inventor(s)

To: \_\_\_\_\_

Recorded in PTO:  
Reel \_\_\_\_\_ Frame \_\_\_\_\_

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page 2)

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

**COPIES OF DOCUMENTS IN CHAIN OF TITLE**

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

<input type="checkbox"/> A	<input type="checkbox"/> 1	<input type="checkbox"/> 2	
<input type="checkbox"/> B	<input type="checkbox"/> 1.	<input type="checkbox"/> 2	<input type="checkbox"/> 3.

Reg. No.: 42,906

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY

**Michael F. Morgan**  
\_\_\_\_\_  
Type or print name of attorney

Tel. No.: ( 248 ) 948-2355

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**26701 Telegraph Road**  
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**Southfield, Michigan 48034-2442**  
\_\_\_\_\_

Customer No. 26922